

Attorney Docket No. 0169.130US

USPTO FAX NO.: 571-273-8300

ATTENTION: Examiner Ilia Ouspenski  
TELEPHONE NUMBER: 571-272-2920

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**EXAMINER ILIA OUSPENSKI**

**CERTIFICATION OF FACSIMILE TRANSMISSION**

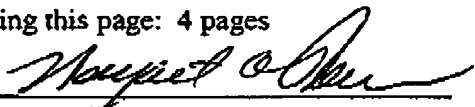
I hereby certify that the following documents in re Application of Juha Punnonen et al., Application No. 09/888,324, filed June 22, 2001, entitled NOVEL CO-STIMULATORY MOLECULES, are being facsimile transmitted to Group 1644 of the US Patent and Trademark Office to USPTO facsimile number 571-273-8300 on the date shown below:

Documents Attached

1. Transmittal Form by facsimile (1 page)
2. Petition to Change Inventorship Pursuant to 37 CFR § 1.48(b) (1 page)
3. Fee Transmittal Form (1 page)

Number of pages being transmitted, including this page: 4 pages

Dated: October 6, 2005

  
Margaret A. Powers  
Reg. No. 39,804

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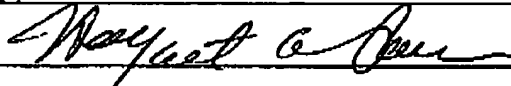
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|   |                      |                        |           |
|---|----------------------|------------------------|-----------|
| <b>TRANSMITTAL<br/>FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number   | 09/888,324             |           |
|   | Filing Date          | June 22, 2001          |           |
|   | First Named Inventor | Juha Punnonen          |           |
|   | Group Art Unit       | 1644                   |           |
|   | Examiner Name        | Iliia Ouspenski        |           |
| Total Number of Pages in This Submission  | 4                    | Attorney Docket Number | 169.310US |

| ENCLOSURES (check all that apply)  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund | <input checked="" type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):<br><div style="border: 1px solid black; padding: 5px; margin-top: 5px;">         Petition to Change Inventorship Pursuant 37 CFR § 1.48(b) (1 page); Facsimile Cover Sheet (1 page)       </div> |
| Authorization to Charge Deposit Account<br>Please charge Deposit Account No. 50-0990 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.  |   |  |
| Remarks  |   |  |

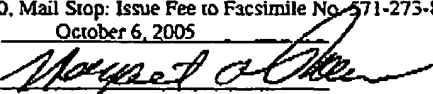
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |
|--|---|
| Firm or Individual name                    | Margaret A. Powers, Reg. No. 39,804   |
| Signature                                  |  |
| Date                                       | October 6, 2005   |

| CERTIFICATE OF FACSIMILE TRANSMITTAL UNDER 37 C.F.R. §1.8   |   |      |                 |
|---|---|------|-----------------|
| I hereby certify this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Mail Stop: Issue Fee at 571-273-8300 on October 6, 2005: |   |      |                 |
| Typed or printed name   | Margaret A. Powers  |      |                 |
| Signature   |  | Date | October 6, 2005 |

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I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Mail Stop: Issue Fee to Facsimile No. 571-273-8300:  
On October 6, 2005

By

  
Margaret A. Powers

Attorney Docket No.: 0169.310US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Juha Punnonen et al.

Application No.: 09/888,324

Filed: June 22, 2001

For: **NOVEL CO-STIMULATORY  
MOLECULES**

Examiner: Ouspenski, Iliia

Art Unit: 1644

**PETITION TO CHANGE  
INVENTORSHIP PURSUANT TO 37  
C.F.R. § 1.48 (b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Mail Stop: Issue Fee

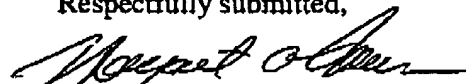
Dear Sir:

Pursuant to 37 C.F.R. § 1.48 (b), please delete Doris Apt and Claes Gustafsson as inventors of the above-identified application. The invention of Doris Apt and Claes Gustafsson is no longer being claimed in the application.

Pursuant to 37 C.F.R. § 1.17(i), please charge \$130.00 for entry and consideration of this petition to Deposit Account No. 50-0990. Please charge any other fee that is required for entry and consideration of this petition to the above noted deposit account, or credit any overpayment.

10/12/2005 TBSHAH2 00000096 500990 09888324  
01 FC:1464 130.00 DA

Respectfully submitted,

  
Margaret A. Powers  
Reg. No. 39,804

October 6, 2005  
Maxygen, Inc.  
Intellectual Property Department  
515 Galveston Drive  
Redwood City, CA 94063  
Telephone: 650-298-5809; Facsimile: 650-298-5446  
Customer No. 30560

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL**  
**For FY 2005**☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** 130.00**Complete if Known**

|                      |                   |
|----------------------|-------------------|
| Application Number   | 09/888,324        |
| Filing Date          | June 22, 2001     |
| First Named Inventor | Juha Punnonen     |
| Examiner Name        | Illa I. Ouspenski |
| Art Unit             | 1644              |
| Attorney Docket No.  | 0169.310US        |

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 50-0990 Deposit Account Name: Maxygen, Inc.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
|                  | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) |                |
| Utility          | 300         | 150                   | 500         | 250                   | 200              | 100                   |                |
| Design           | 200         | 100                   | 100         | 50                    | 130              | 65                    |                |
| Plant            | 200         | 100                   | 300         | 150                   | 160              | 80                    |                |
| Reissue          | 300         | 150                   | 500         | 250                   | 600              | 300                   |                |
| Provisional      | 200         | 100                   | 0           | 0                     | 0                | 0                     |                |

**2. EXCESS CLAIM FEES**

| Fee Description                                    | Fee (\$) | Small Entity Fee (\$) |
|--|----------|-----------------------|
| Each claim over 20 (including Reissues)            | 50       | 25                    |
| Each independent claim over 3 (including Reissues) | 200      | 100                   |
| Multiple dependent claims                          | 360      | 180                   |

|                      |                     |                 |                      |                                  |
|----------------------|---------------------|-----------------|----------------------|----------------------------------|
| <b>Total Claims</b>  | <b>Extra Claims</b> | <b>Fee (\$)</b> | <b>Fee Paid (\$)</b> | <b>Multiple Dependent Claims</b> |
| - 20 or HP =         | x                   | =               |                      | <b>Fee (\$)</b>                  |
| <b>Indep. Claims</b> | <b>Extra Claims</b> | <b>Fee (\$)</b> | <b>Fee Paid (\$)</b> | <b>Fee Paid (\$)</b>             |
| - 3 or HP =          | x                   | =               |                      |                                  |

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims - 3 or HP = x =

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

|                     |                     |   |                 |                      |
|---------------------|---------------------|---|-----------------|----------------------|
| <b>Total Sheets</b> | <b>Extra Sheets</b> | <b>Number of each additional 50 or fraction thereof</b> | <b>Fee (\$)</b> | <b>Fee Paid (\$)</b> |
| - 100 =             | / 50 =              | (round up to a whole number) x                          | =               |                      |

**4. OTHER FEE(S)**

Non-English Specification. \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Petition to Change Inventorship Pursuant to 37 CFR § 1.48(b)

**Fees Paid (\$)**

130.00

**SUBMITTED BY**

|                   |   |   |                          |
|-------------------|---|---|--------------------------|
| Signature         |  | Registration No. 39,804<br>(Attorney/Agent) | Telephone (650) 298-5809 |
| Name (Print/Type) | Margaret A. Powers  | Date  | 10/6/05                  |

**Certificate of Mailing under 37 C.F.R. §1.8**I hereby certify that this is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450, Mail Stop: ISSUE FEE on the date below:

Typed or Printed Name: Margaret A. Powers

Signature:  Date: October 6, 2005